

Notice of Allowability

Application No.

09/842,577

Examiner

HUNG Q. PHAM

Applicant(s)

LAWSON ET AL.

Art Unit

2168

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to 11/08/06.
2. ☒ The allowed claim(s) is/are 1-4.
3. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some* c) ☐ None of the:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

* Certified copies not received: _____.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.

THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

4. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
- (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
- 1) ☐ hereto or 2) ☐ to Paper No./Mail Date _____.
- (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date _____.
- Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

1. ☐ Notice of References Cited (PTO-892)
2. ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3. ☐ Information Disclosure Statements (PTO/SB/08),
Paper No./Mail Date _____
4. ☐ Examiner's Comment Regarding Requirement for Deposit
of Biological Material
5. ☐ Notice of Informal Patent Application
6. ☒ Interview Summary (PTO-413),
Paper No./Mail Date 20070201.
7. ☒ Examiner's Amendment/Comment
8. ☒ Examiner's Statement of Reasons for Allowance
9. ☐ Other _____.


HUNG PHAM
Examiner
AU 2168

Art Unit: 2168

EXAMINER'S AMENDMENT

- An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

- Authorization for this examiner's amendment was given in a telephone interview with applicants' representative, ROBERT B. REESER, on 01/31/2007.

In the Claims filed on 11/08/2006, PLEASE

CANCEL claims 5 and 7-16;

REPLACE claims 1-4 by the clean version (without strike-through and underlining markings), which was amended by the examiner as below:

1. *A method of managing user profiles for controlling access to a web-based system that includes a server system coupled to a centralized database and at least one client system, said method comprising the steps of:*

creating an electronic profile for a user from the at least one client system within the centralized database;

creating data profiles within the centralized database for applications of the server system;
wherein creating the data profile comprises establishing rules and access methods;

determining whether to grant the user access to an application of the server system after completing an evaluation based on the created user electronic profile, established rules and access methods in response to a request from the user for access to the application;

if the user is denied access to the application, notifying the user of the denial to access the application;

if the user is granted access to the application, determining whether to grant the user access to a set of specific data within the application after completing another evaluation based on the created user

Art Unit: 2168

electronic profiles, established rules and access methods in response to another request from the user for access to the set of specific data;

if the user is denied access to the set of specific data:

prompting the user to complete a request for quick approval wherein the request for quick approval includes a list of data for approval;

automatically determining, using an internal exception access process, an approval or a disapproval of quick access based on pre-established criteria and the list of data for approval;

if the request for quick approval is approved, determining either to add a rule or to add the user to the centralized database;

if the rule is added, updating an exception list;

if the user is added, updating the centralized database;

notifying the user of the approval of the request for quick approval; and

continuing with the application and accessing the set of specific data;

if the request for quick approval is denied, notifying the user of the denial of the request for quick approval;

if the user is granted access to the set of specific data, continuing with the application and accessing the set of specific data.

2. *A method according to Claim 1 wherein said step of creating an electronic profile for a user further comprises the step of creating an electronic profile based on information available from at least one an Oracle Human Resource Application and a Request for Computer Access Application.*

3. *A method according to Claim 1 wherein the created data profiles is based on at least one of Data Elements, Data Tags, Rules of Access, an Approver's Name for Each Rule of Access, Rules of Exclusion, an Exception List, and Field Tags.*

4. *A method according to Claim 3 wherein said step of establishing rules and access methods further comprises the steps of:*

establishing rules based on at least one of Rule Based Access guidelines, Group Based Access guidelines, Search & Subscribe Utilities guidelines, Active Positioning monitoring guidelines, Hard Exclusion Rules guidelines, and Access Audits guidelines; and

establishing access methods to ensure timely and accurate decision making based on criteria established by system administrators.

5. (Canceled)

7-16. (Canceled)

REASONS FOR ALLOWANCE

- The following is an examiner's statement of reasons for allowance:

The closest available prior art, Kraenzel [USP 6,513,039], also teaches a method for controlling access. However, as in claim 1, Kraenzel fails to teach or suggest the claimed limitation *if the user is granted access to the application, determining whether to grant the user access to a set of specific data within the application after completing another evaluation based on the created user electronic profiles, established rules and access methods in response to another request from the user for access to the set of specific data; if the user is denied access to the set of specific data: prompting the user to complete a request for quick approval wherein the request for quick approval includes a list of data for approval; automatically determining, using an internal exception access process, an approval or a disapproval of quick access based on pre-established criteria and the list of data for approval; if the request for quick approval is approved, determining either to add a rule or to add the user to the centralized database; if the rule is added, updating an exception list; if the user is added, updating the centralized database; notifying the user of the approval of the request for quick approval; and continuing with the application and accessing the set of specific data; if the request for quick approval is denied, notifying the user of the denial of the request for quick approval; if the user is granted access to the set of specific data, continuing with the application and accessing the set of specific data.* Therefore, the invention is allowable over the prior arts of record for being directed to a combination of claimed elements including the providing steps as indicated above.

Art Unit: 2168

- Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."


Art Unit: 2168

Contact Information

Any inquiry concerning this communication or earlier communications from the examiner should be directed to HUNG Q. PHAM whose telephone number is 571-272-4040. The examiner can normally be reached on Monday-Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, TIM T. VO can be reached on 571-272-3642. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.


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Examiner
Art Unit 2168

February 01, 2007